

# "Artificial Intelligence techniques for GNC design, implementation and verification"

*Final Review* 2022-12-19



# AI4GNC - FR

# Agenda

- 10:00 10:15 Welcome and status update
- 10:15 11:15 Technical Presentations (D7 Update)
  - 10:15 10:45 TUe Model Augmentation
  - 10:45 11:15 Aachen/UoS Robust Guidance
- 11:15 11:30 Buffer/Mini Break
- 11:30 12:30 SENER (D8) Study Synthesis Presentation & Discussion
- 12:30 13:30 Lunch Break
- 13:30 15:00 Project Closure Discussion & Way Forward



TUe Model Augmentation



Aachen/UoS Robust Guidance



sener Study Synthesis



# Study Synthesis Introduction

- 1. Critical Analysis of Obtained Results
  - High-level technical summary
  - Recap of obtained results
- 2. Synthesis of New Capabilities
  - Results in larger context for GNC discipline
  - Trends and developments supported by AI4GNC project
- 3. Lessons Learned & Discussion
  - Ways to bring techniques into application/adoption
  - Conclusions / Discussion



SENER Critical Analysis of Obtained Results



# Critical Analysis of Results Project Execution

- Project executed as planned
- High-level goals realized
- Some adjustments throughout the project
  - Scope clarified during execution
  - Focus on most promising ideas
- Explorative nature of project at times difficult





# Critical Analysis of Results

Bayesian Optimization for Controller Tuning

## **Focus points**

- Noisy simulations/function evaluations
  - $\rightarrow$  seamless integration with MC
- Temporal logic constraints
  - $\rightarrow$  direct optimization of requirements
- Interpretability & engineering insights
  - $\rightarrow$  design tool with "human-in-the-loop"



# Results

- $\rightarrow$  highly competitive performance
- $\rightarrow$  applicable & effective for "real" tasks
- $\rightarrow$  Loses reliability in higher dimensions
- $\rightarrow$  Problem needs to be formulated "well"



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# Critical Analysis of Results

# Robust Optimization-Based Guidance

- Extends robust control techniques to guidance level
- Can integrate model uncertainties
  - show-cased in ML-based scenarios
  - Generates distinct robust behaviour
  - $\rightarrow$  Could generate insights for guidance strategies

## Results

- → Significant performance improvements
  - Over baseline solution
  - Over non-robust variants
- $\rightarrow$  High design flexibility
- $\rightarrow$  Complex (both computationally & design)
  - Significant steps taken towards implementability
  - Essentially real-time capable on Laptop

algorithm	wind error	90% quantiles	mean accuracy	median accuracy
Baseline	$0 \mathrm{m/s}$	27.8517	27.5154	16.1469
Baseline	$\frac{2.5}{3}$ m/s	39.9353	34.9835	17.594
Baseline	$\frac{5}{3}$ m/s	97.5034	59.1223 (39.3975)	21.4595
Baseline	$\frac{7.5}{3}$ m/s	185.8093	108.3531	26.7415
DDP	$0 \mathrm{m/s}$	24.7212	20.2993	11.7073
DDP	$\frac{2.5}{3}$ m/s	38.3248	28.8612	14.4037
DDP	$\frac{5}{3}$ m/s	82.8809	52.1305	20.4581
DDP	$\frac{7.5}{3}$ m/s	145.9398	94.1244	27.9901
robust DDP	$0 \mathrm{m/s}$	21.4472	19.8503	11.6286
robust DDP	$\frac{2.5}{3}$ m/s	24.3172	21.7991	12.7784
robust DDP	$\frac{5}{3}$ m/s	49.698	38.3896	16.2578
robust DDP	$\frac{7.5}{3}$ m/s	127.2579	80.0665	22.0501



# Critical Analysis of Results

Data-Driven Model Augmentation

- Valuable tool for complex (closed loop) dynamics,
  - Also with simulation data
  - LPV (or LTI) also provide good performance
  - Nonlinear/ML methods extend range of validity
- The performance depends on formulation
  - model structure
  - quality of the data
- Discussion point: How important/helpful is augmentation?
  - Black-box learning/ID easier?
  - Interpretability





SENER Synthesis of New Capabilities



# Synthesis of New Capabilities

Model-based Design and Decision Making

- Main models used in current GNC software
  - Linear (control design & analysis)
  - DKE high-fidelity functional simulator (V&V)
- Trend towards additional models for **decision making** 
  - Exemplified by optimization-based guidance, data-driven model learning for guidance
  - Applies to most potential techniques for advanced capabilities & autonomy
- Advantages envisioned & demonstrated in Al4GNC

Performance Design Flexibility Increase Autonomy





# Synthesis of New Capabilities Data-Driven GNC Design

# 1. Data-Driven Modelling

- Current designs focused on first principle models
- Data-driven approaches may be underrepresented
  - Possibly improved model fit since complicated effects can be approximately captured
  - significantly reduced engineering effort
- $\rightarrow$  actively explored in SR project (linear models)

# 2. Simulation for GNC design

- Currently the focus of simulations lies on V&V
- Bayesian optimization, RL and related techniques shift focus
  - Significant potential to streamline tuning process
  - Overhead in their application needs to be reduced







SENER Lessons Learned & Discussion



# Lessons-Learned and Discussion

# Part I: Technical

- 1. Potential for advanced techniques: Opportunities need to be clearly identified
  - Also in this project/benchmark -> what can advanced techniques improve? How?
  - What is the performance/complexity trade-off? Quantifiable? (E.g. linear augmentation vs ANN-based, Optimization problem classes)

## 2. Use of techniques has significant overhead

- Can be reduced by good software integration
- Techniques often inherently complex and challenging to design
  - Toolboxes and software packages can help to some extent
  - Still likely requires expert personnel



# Lessons-Learned

Part II: Project Execution

- 3. Format of the project right for this type of study? (PRR  $\rightarrow$  CRR  $\rightarrow$  PDR  $\rightarrow$  DDR  $\rightarrow$  VR  $\rightarrow$  FR)
  - (out of necessity) we took large liberties with the format
  - May give structure to development efforts
- 4. Use of SENER benchmark simulator
  - Has caused significant challenges
    - Reduced flexibility/agility, large overhead for development
    - Using different environment, we could have produced more results
  - But: Provides significant value by enforcing realistic use cases
    - Avoids demonstration on academic toy problems
  - Difficult tradeoff to be carefully considered



# Lessons-Learned

Further discussion points?





### Robust trajectory generation Final Review

#### Dennis Gramlich, Carsten W. Scherer, Christian Ebenbauer

Chair of Intelligent Control Systems, RWTH Aachen Chair for Mathematical Systems Theory, University of Stuttgart

December 19, 2022

### Why we are interested in robust trajectory generation



#### Robust control in aerospace engineering:

- Generate a nominal trajectory.
- Track the trajectory with a robust controller.

#### We propose:

• The integration of robust control into trajectory generation.

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### Why we are interested in robust trajectory generation



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### Why we are interested in robust trajectory generation



#### Robust control in aerospace engineering:

- Generate a nominal trajectory.
- Track the trajectory with a robust controller.

#### We propose:

• The integration of robust control into trajectory generation.

## Parafoil payload landing scenario

Goal: Find a control policy that minimizes the functional

$$\int_0^{t_f} u^2(t) \, \mathrm{d}t + \left\| \begin{pmatrix} x(t_f) - x_f \\ y(t_f) - y_f \\ \psi(t_f) - \psi_f \end{pmatrix} \right\|_F^2$$

while controlling the system below and compensating wind disturbances.



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## Outline

#### 1 Robust Differential Dynamic Programming

2 Robust DDP: Robust performance benchmark

8 Robust DDP: Sener simulator benchmark

A Robust DDP & Learning (Joint work with Lukas Hewing)

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The developped robust Differential Dynamic Programming (robust DDP) algorithm

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- is applicable to nonlinear generalized plants as above and
- provides guarantees for affine linear time-varying systems.

For a nonlinear genearlized plant, we solve the robust Dynamic Program

$$\begin{array}{ll} \underset{(\pi_k)}{\text{minimize maximize}} & \left(\sum_{k=0}^{T-1} f_0(x_k, u_k, w_k) + V_T(x_T)\right) \\ \text{s.t.} & x_{k+1} = f(x_k, u_k, w_k) & k = 0, \dots, T-1 \\ & x_0 = x_s \\ & u_k = \pi_k(x_k) & k = 0, \dots, T-1 \\ & w_k = \Delta_k(g(x_k, u_k, w_k)) & k = 0, \dots, T-1, \end{array}\right)$$

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in a sequential convex programming fashion.



#### **Classical DDP strategy:**

- Approximate the cost around the reference trajectory  $(x_k, u_k)_{k=0}^T$ .
- Compute local update  $(\delta_k^{\times}, \delta_k^u)$  that reduces the cost of the trajectory.



#### **Robust DDP strategy:**

- Utilize uncertainty multipliers to bound the cost around the reference trajectory  $(x_k, u_k)_{k=0}^T$ .
- Compute local update  $(\delta_k^x, \delta_k^u)$  that reduces the cost upper bound of the trajectory.



#### **Robust DDP strategy:**

- Utilize uncertainty multipliers to bound the cost around the reference trajectory  $(x_k, u_k)_{k=0}^T$ .
- Compute local update  $(\delta_k^x, \delta_k^u)$  that reduces the cost upper bound of the trajectory.

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Example	uncertainties			
Ex. 1	wind field 1 with multiplicative uncertainty			
Ex. 2	uncertain input delay			
Ex. 3	uncertain velocity			
Ex. 4	uncertain velocity and input delay			
Ex. 5	uncertain velocity, input delay and wind			
Ex. 6	wind field 2 with multiplicatie uncertainty			
Ex. 7	wind field 2 with constant uncertainty			
Ex. 8	wind field 2 with LIDAR uncertainty			
Ex. 9	wind field 3 with multiplicative uncertainty			

Table: List of example configurations for the Monte Carlo simulation. Note that in Example 2, there is an exception. In this case, we study the 4 DOF model with the prescribed uncertainties, but the six DOF model with no uncertainties.



Figure: Illustration of nominal (transparent) and robust (dark) trajectories and the intensity of the uncertainty (red background).

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Experiment	Algorithm	Terminal Cost	Missed Distance	Missed Heading
Example 1	nominal DDP	1.8862	43.255 m	2.2311°
Example 1	robust DDP	0.21558	14.2683 m	$1.9848^{\circ}$
Example 2	nominal DDP	0.22312	13.6583 m	3.4648°
Example 2	robust DDP	0.42834	13.0901 m	9.1851°
Example 3	nominal DDP	0.029267	3.5746 m	2.3266°
Example 3	robust DDP	0.025571	4.7954 m	0.9195°
Example 4	nominal DDP	0.39618	19.8599 m	0.7608°
Example 4	robust DDP	0.071304	8.2416 m	1.0535°
Example 5	nominal DDP	-	-	-
Example 5	robust DDP	-	-	-
Example 6	nominal DDP	0.16619	12.8245 m	0.75264°
Example 6	robust DDP	0.11103	10.4436 m	0.80161°
Example 7	nominal DDP	4.2123	64.7013 m	2.9226°
Example 7	robust DDP	0.51526	22.4701 m	1.8431°
Example 8	nominal DDP	0.44822	21.0997 m	0.99691°
Example 8	robust DDP	0.28449	16.6594 m	1.5105°
Example 9	nominal DDP	2.2398	47.2049 m	1.9455°
Example 9	robust DDP	2.3306	47.8559 m	3.643°

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## Robust DDP: Sener simulator benchmark

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## Simulator Monte Carlo benchmark

#### 1D wind scenario without constraints:

- Parafoil payload landing scenario.
- Disturbances by a height dependent wind field.

#### 3D wind scenario with constraints:

- Parafoil payload landing scenario.
- Disturbances by a wind field depending on all space coordinates.

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• Valley constraints.
#### 1D wind scenario without constraints

algorithm	wind error	90% quantiles	mean accuracy	median accuracy
Baseline	$0 \mathrm{m/s}$	27.8517 (27.6395)	27.5154 (19.5091)	16.1469 (19.3674)
Baseline	$\frac{2.5}{3}$ m/s	39.9353 (35.45)	34.9835 (22.5425)	17.594 (20.651)
Baseline	$\frac{5}{3}$ m/s	97.5034 (100.233)	59.1223 (39.3975)	21.4595 (22.7324)
Baseline	$\frac{7.5}{3}$ m/s	185.8093 (252.9832)	108.3531 (104.7632)	26.7415 (30.2102)
DDP	$\tilde{0}$ m/s	24.7212 (27.2009)	20.2993 (15.7265)	11.7073 (17.0487)
DDP	$\frac{2.5}{3}$ m/s	38.3248 (47.2506)	28.8612 (22.0739)	<b>14.4037</b> (16.3496)
DDP	$\frac{5}{3}$ m/s	82.8809 (97.7824)	52.1305 (36.6703)	20.4581 (18.8947)
DDP	$\frac{7.5}{3}$ m/s	145.9398 (182.7431)	94.1244 (64.4572)	27.9901 (32.1246)
robust DDP	$\tilde{0}$ m/s	21.4472 (28.3516)	19.8503 (17.7563)	11.6286 (14.1737)
robust DDP	$\frac{2.5}{3}$ m/s	24.3172 (36.6898)	21.7991 (23.5991)	12.7784 (15.4815)
robust DDP	$\frac{5}{3}$ m/s	49.698 (88.8988)	38.3896 (44.01)	16.2578 (20.0285)
robust DDP	$\frac{7.5}{3}$ m/s	127.2579 (147.6379)	80.0665 (69.2864)	22.0501 (31.0435)

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#### 3D wind scenario with constraints

algorithm	wind error	90% quantiles	mean accuracy	median accuracy
Baseline	$0 \mathrm{m/s}$	<b>95.7631</b> (71.0752)	51.9363	25.2396
Baseline	$\frac{2.5}{3}$ m/s	130.8552 (91.2114)	67.8491	27.0211
Baseline	$\frac{5}{3}$ m/s	215.6164 (190.0191)	93.7852	31.8473
Baseline	$\frac{7.5}{3}$ m/s	328.166 (314.3696)	131.7685	43.6718
DDP	0 m/s	39.5696 (60.384)	50.5451	19.3913
DDP	$\frac{2.5}{3}$ m/s	73.7915 (147.7287)	67.9683	21.9754
DDP	$\frac{5}{3}$ m/s	146.9501 (160.418)	92.1789	26.9091
DDP	$\frac{7.5}{3}$ m/s	<b>259.5951</b> (221.2534)	116.3121	38.8167
robust DDP	0 m/s	40.3008 (139.2251)	56.2837	16.8733
robust DDP	$\frac{2.5}{3}$ m/s	73.7171 (152.2869)	59.6432	19.7793
robust DDP	$\frac{5}{3}$ m/s	152.6772 (-)	83.5045	24.6162
robust DDP	$\frac{7.5}{3}$ m/s	271.3544 (229.1841)	131.6672	34.4236

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#### Learned system models can be inaccurate!

- Model errors exist even in the proximity of the training set.
- Model errors can increase significantly, outside of the *support* of the training set.

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#### Idea: Use robust planning for learned models.

- Improves performance in the data domain.
- Avoids leaving the data domain.

Studied setup: Nominal model

$$\begin{split} \dot{x}(t) &= v(t)\cos(\gamma(t))\cos(\psi(t))\\ \dot{y}(t) &= v(t)\cos(\gamma(t))\sin(\psi(t))\\ \dot{\psi}(t) &= \frac{L(v(t))\sin(u(t))}{mv(t)\cos(\gamma(t))}\\ \dot{v}(t) &= -D(v(t))/m - g\sin(\gamma(t))\\ \dot{v}(t) &= -D(v(t))/m - g\sin(\gamma(t))\\ \dot{\gamma}(t) &= \frac{L(v(t))\cos(u(t)) - mg\cos(\gamma(t))}{mv(t)}\\ \dot{z}(t) &= v(t)\sin(\gamma(t))\\ \dot{u}(t) &= \frac{u_{\rm com} - u(t)}{\tau_u}. \end{split}$$

Learned model

$$\begin{split} \dot{x}(t) &= \bar{v}\cos(\psi(t))\\ \dot{y}(t) &= \bar{v}\sin(\psi(t))\\ \dot{\psi}(t) &= \bar{c}u(t) + w(t)\\ \dot{u}(t) &= \mu(u(t), u_{\rm com})\\ \|w(t)\| &\leq 2\sigma(u(t), u_{\rm com}). \end{split}$$

Here,  $\mu(u, u_{\text{com}})$  and  $\sigma(u, u_{\text{com}})$  are the mean and standard deviation of a gaussian process.



Figure: Generalized plant from the GP augemented model.

The uncertainties of the above generalized plant are characterized by  $\|\Delta_k\| \leq 1$ , i.e., the family of multipliers

$$\begin{pmatrix} \Delta_k \\ I \end{pmatrix}^{\top} \begin{pmatrix} -\lambda I & 0 \\ 0 & \lambda I \end{pmatrix} \begin{pmatrix} \Delta_k \\ I \end{pmatrix} \succeq 0 \qquad \forall \lambda \in \mathbb{R}_{\geq 0}.$$

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Statistics	GP augmented DDP	nominal DDP
90% Terminal Cost Quantile	0.31043	1.4633
Terminal Cost Median	0.060813	0.24776
Terminal Cost Mean	0.1607	0.69561
90% Position Error Quantile	15.2541	21.5877
Position Error Median	7.2427	8.8074
Position Error Mean	8.2827	11.0035
90% Heading Error Quantile	4.6454	19.4048
Heading Error Median	0.77642	6.4613
Heading Error Mean	2.0768	9.0401

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#### Conclusion

- Robust planning can improve robustness over a robust controller.
- Robust DDP has demonstrated the advantages of robust planning in extensive benchmarks.
- Robust planning can be a useful addition to learning based control methods.

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#### Conclusion

Thank you: Valentin Preda, Samir Bennani, Lukas Hewing and Sener.

For all the support during our work for AI4GNC.

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# AI4GNC – VR – D7 revision: Model Augmentation for Optimization-based Guidance

ir. Chris Verhoek, dr. ir. Roland Tóth, dr. ir. Sofie Haesaert, dr. ir. Maarten Schoukens

Control Systems research group – Chris Verhoek – Eindhoven University of Technology – D7 revision presentation

### **Overview**

- Overviewed the state-of-the-art LPV identification and control (D3)
- Developed an LFR-ANN based model-augmentation concept (D4)
- Developed 6/12 DOF models of the parafoil return vehicle (de Lange, 2021) (D4)
- Applied model-augmentation concept on the developed models (D4)
  - LTI baseline augmentation  $\rightarrow$  excellent results (BFRs of ~95%)
- Investigated augmentation of the unicycle baseline (D6)

#### Next step?



## **Problem setting**

Goal: Integrate the augmented model in guidance

Capture closed-loop flight dynamics as an LFR-ANN augmented unicycle





### Contents

- Augmentation scenario
- Data-generation
- Preliminary analysis
- Training results
- Conclusions

Simulation environment: based on TU/e simulator (developed in D4)

- 6 DOF vehicle model
- LPV controller designed for reference tracking of  $\psi$
- Generated noise to match state observer error (based on recorded specturm)





Velocity-based training on the unicycle model

$$\dot{x}(t) = v \cos(\psi(t)) + w_x(t)$$
$$\dot{y}(t) = v \sin(\psi(t)) + w_y(t)$$
$$\dot{\psi}(t) = u(t)$$

### State derivatives $\rightarrow$ Outputs

$$\dot{\psi} = \dot{\psi}_{\mathrm{ref}}$$
 $z = \begin{pmatrix} \dot{x} \\ \dot{y} \\ \dot{\psi} \end{pmatrix} = \begin{pmatrix} v_{\mathrm{forward}} \sin \psi + d_{\mathrm{wind},x} \\ v_{\mathrm{forward}} \cos \psi + d_{\mathrm{wind},y} \\ \dot{\psi}_{\mathrm{ref}} \end{pmatrix}$ 

Nov. 4, 2022 – C. Verhoek et al. – D7: Model Augmentation for Guidance



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Velocity-based training – new baseline model

$$\begin{split} \dot{\psi} &= \dot{\psi}_{\rm ref} \\ z &= \begin{pmatrix} \dot{x} \\ \dot{y} \\ \dot{\psi} \end{pmatrix} = \begin{pmatrix} v_{\rm forward} \sin \psi + d_{{\rm wind},x} \\ v_{\rm forward} \cos \psi + d_{{\rm wind},y} \\ \dot{\psi}_{\rm ref} \end{pmatrix} \end{split}$$

Approximation of  $\dot{x}, \dot{y}$  by the model:

- Dependent on  $v_{\text{forward}} \rightarrow \text{norm of } \dot{x}, \dot{y}$
- Dependent on  $d_{\text{wind},\bullet} \rightarrow$  noise
- $\blacktriangleright$  Only dependent on the approximation error of  $\psi$  , i.e.,  $\dot{\psi}$



Quality of baseline model only dependent on the mapping

 $\dot{\psi} = \dot{\psi}_{
m ref}$ 

- Identity mapping
- > Identification problem: Estimate  $\hat{\dot{\psi}} = \mathcal{M}\dot{\psi}_{ref}$  such that  $\hat{\dot{\psi}} \dot{\psi}$  is close to zero.

### Solve this identification problem in the model-augmentation framework





### Contents

- Augmentation scenario
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### **Data-generation**

Use our own model:

- 6 DoF GPRV
- Controlled with LPV controller (Matthis' MSc work)
  - Reference tracking scenario
  - Tracks  $\psi$
- Use heading-rate reference from SENER simulator

### Divide reference in sub-windows



## **Data-generation**

### Divide reference in sub-windows

- Simulate sub-windows with ball of random initial conditions
- 12 sub-windows, 25 initial conditions
  - 300 trajectories, T<sub>s</sub> = 0.1 [s], 250 [s]
  - 750k datapoints
  - Generate with/without wind



# TU/e

### **Data-generation**

### Divide reference in sub-windows

- Simulate sub-windows with ball of random initial conditions
- 12 sub-windows, 25 initial conditions
  - 300 trajectories
  - 750k datapoints
  - Generate with/without wind (seen as the noise source)

Size of ball initial conditions: 15% deviation from nominal







### Contents

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Q: How nonlinear the behavior is?

Identify the mapping  $\hat{\dot{\psi}}=\mathcal{M}\dot{\psi}_{\mathrm{ref}}$  with LTI system identification techniques

- Use wind/noise-free data → OE-estimation
- Process part estimated well with order 2 → mainly linear behavior with minor nonlinearities
- Best-Fit-Rate (BFR) of 99.43% on validation data
- With wind disturbance: BFR of 89.66%



Identify the mapping  $\dot{\psi} = A \dot{\psi}_{
m ref}$  with LTI system identification techniques





### **OE** simulation results

#### BJ simulation results



However, there were a few data-sets where the fit drasticaly dropped.

- Worst-case BFR: 65%
- The controller dropped out from its designed operating range
- Main reason for the dominant LTI behavior: linearizing effect of the LPV controller



### Contents

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We want to learn the residual nonlinearities in the mapping  $\dot{\psi}_{
m ref} o \dot{\psi}$ 

- See the identified LTI model as baseline
- Augment with a static neural network



Goal: Accurately learn the residual nonlinearities in the mapping

- Use noise and wind-free data
  - Avoid *learning* wind / noise

### Train in SUBNET framework

- Number of inputs of the network: 2
- Number of outputs of the network: 2
- Number of hidden layers: 2
- Nodes per layer: 64
- Activation functions: tanh()







Augmented model: worst-case BFR on the validation set: **85%** 

Simulation on 4 test sets:



Full black-box model: worst-case BFR on the validation set 93%

Simulation on 4 test sets:



### Velocity simulation (augmented model)





### Velocity simulation (black-box model)



TU/e

- For data-sets where LTI behavior is dominant, augmentation structure contributes: <1%
- Black-box approach reaches better validation results (effect of SGO + regularization)
- Long-term predictions (simulations) have less error with the augmented LTI model
- Performance of the augmented structure dependent on the initialization of ANN (use the encoder)
- Overall performance is excellent



### Contents

- Augmentation scenario
- Data-generation
- Preliminary analysis
- Training results
- Conclusions
## **Conclusions**

- For training on velocity, identification problem resembles to learning  $\dot{\psi}_{
  m ref} 
  ightarrow \dot{\psi}$
- We have shown that we can learn the residual nonlinear dynamics
- For small deviations from nominal trajectory, LTI augmentation is sufficient
- Outside of this region  $\rightarrow$  ANNs are needed
- Due to LFR-ANN structure, augmentation can be used to define uncertainty
  - Working this properly out requires more time...

